| | | INFORMATION DISCLOSURE STATEMENT | |
|--------------------------------|--------|--|---|
| In re Application | 4.4 | g et al (AUS 1 7 2000 %) | Attorney Docket No.: MLY-5 |
| Serial No.: | 09/55 | 51,380 B - ACNIED | Date: August 14, 2000 |
| Filed: | April | 18, 2000 RADEMARKO RECEIVED | Art Unit:1643 |
| Title: | | ified Fluorescent Proteins for cting Protease Activity" | Our Account No. <u>04-1403</u> |
| Assistant Com Washington, D | | ner for Patents | |
| Sir: | | | |
| The following Sections 1.56, | | formation Disclosure Statement for the captioned patent ${\sf a}_{\sf a}$ and 1.98. | pplication, pursuant to 37 CFR |
| 1.[X] | Attac | hed hereto is: | |
| | a.[x] | A list of all materials for consideration per Rule 98(a)(1): | 1 page(s) |
| | b.[x] | A legible copy of each patent, publication, or other item list required per Rule 98(c) and/or (d) and as indicated on the4 item(s) | sted per Rule 98(a)(2), unless not attached list(s): |
| . U.L | c.[] | For each <u>non-English language</u> item listed, pursuant to Rul the relevance thereof as it is presently understood by the most knowledgeable about the content of such items: | individual designated in Rule 56(c) |
| 2.[x] | This I | nformation Disclosure Statement is being filed [CHECK ON | E): |
| | a.[X] | WITHIN THREE MONTHS of the application filing date or r BEFORE the mailing date of a first Office Action on the me WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) ce | erits, which ever event occurs last, |
| | b.[] | AFTER the time periods of section 2.a above, but BEFORE Allowance, <u>WHEREFORE</u> per Rule 97(c) submitted herewit | a Final Action <u>OR</u> Notice of this [CHECK ONE]: |
| | | i.[] Certification per Rule 97(e) OR | |
| | | ii.[] Filing Fee per Rule 17(p) | \$240.00 |
| | c.[] | AFTER a Final Action <u>OR</u> Notice of Allowance, but BEFOR <u>WHEREFORE</u> per Rule 97(d) submitted herewith is: | E payment of the issue fee, |
| | | i. Certification per Rule 97(e) | |
| | | ii. <u>Petition</u> : Petition is hereby made under Rule present Information Disclosure Statement; <u>Petition</u> | |
| | | iii. Petition fee per Rule 17(i)(1) | \$130.00 |
| 3.[] | | 97(e) Certification; per Rule 97(e), the undersigned certifying ication statement [CHECK ONE]: | ng party makes the following |
| | a.[] | That each item of information contained in this Information a communication from a foreign patent office in a counter than three months prior to the filing of this statement; OR | part foreign application not more |
| | b.[] | That no item of information contained in this Information of foreign patent office in a counterpart foreign application of undersigned after making reasonable inquiry, was known Rule 56(c) more than three months prior to the filing of the | r, to the knowledge of the to any individual designated in |
| | | CERTIFYING PARTY (if different from bottom signature; o certification is being made by signer per signature below) | mission here indicates that |
| | | Name: | - |
| | | Signature: | - |
| | | Address: | - |

Date: _

specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:
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I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

| | Peggy S. Baker (Typed/printed name of person mailing paper or fee) |
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ADDRESS: Suite 15 700 E. North Street Greenville, SC 29601 (864) 271-1592 FAX (864) 233-7342 DORITY & MANNING, P.A.

By: J. Bennett Mullinax

Reg. No.: 36,221

Signature: ____

te: August 14, 2000

| | | | PRETURENALIUN | DISCLUSURE STATEMENT | | |
|-----------------------|---------|-----------------------------------|---|---|---|------------------------|
| In re Application | | ng et al | AUG 1 7 2000 E | | Attorney Docket No.: 1 | MLY-5 |
| Serial No.: | 09/5 | 51,380 | | | Date: August 14, 2 | 2000 |
| Filed: | April | 18, 2000 | TRADEMARK | | Art Unit: 1643 | |
| Title: | | dified Fluoreso ecting Proteas | ent Proteins for e Activity" | RECEIVED | Our Account No. 04-14 | 103 |
| Assistant Com | missio | ner for Paten | , | AR 21 2000 | | |
| Washington, D Sir: | .C. 20 |)231 | | TECH CENTER 1600/2908 | | |
| | e an li | nformation Di | cclocura Statomon | t for the continued nations and | liantina musuunt ta 27 (| een. |
| Sections 1.56, | | | sciosure Statement | t for the captioned patent appl | lication, pursuant to 37 | CFK |
| 1.[X] | Attac | hed hereto is | : | | | |
| | a.[x] | A list of all n | naterials for consid | eration per Rule 98(a)(1):1 | page(s) | |
| | b.[x] | | Rule 98(c) and/or (| publication, or other item liste (d) and as indicated on the att | | ss not |
| | c.[] | the relevance | e thereof as it is pr | item listed, pursuant to Rule 9 esently understood by the ind content of such items: p | ividual designated in Rul | |
| 2.[x] | This I | nformation D | isclosure Statemen | t is being filed [CHECK ONE]: | | |
| | a.[X] | BEFORE the | mailing date of a fi | e application filing date or nati rst Office Action on the merit) filing fee or Rule 97(e) certifi | s, which ever event occu | <u>OR</u> ırs last, |
| | b.[] | AFTER the ti Allowance, V | me periods of sect <u>VHEREFORE</u> per Ru | ion 2.a above, but BEFORE a lule 97(c) submitted herewith i | Final Action <u>OR</u> Notice o s [CHECK ONE]: | f |
| | | i.[] | Certification per F | Rule 97(e) <u>OR</u> | , | |
| | | ii.[] | Filing Fee per Rule | e 17(p) | | \$240.00 |
| | c.[] | | | e of Allowance, but BEFORE p bmitted herewith is: | ayment of the issue fee, | |
| | | i. | Certification per F | Rule 97(e) | | |
| | | II. | | is hereby made under Rule 97 on Disclosure Statement; <u>AND</u> | | f the |
| | | iii. | Petition fee per Ri | ule 17(i)(1) | | \$130.00 |
| | | | tion; per Rule 97(e ent (CHECK ONE): |), the undersigned certifying p | party makes the following | 9 |
| | | a communica | ition from a foreign | ontained in this Information D patent office in a counterpart iling of this statement; <u>OR</u> | | |
| : | | foreign paten undersigned | t office in a counte after making reaso | tained in this Information Disc erpart foreign application or, to nable inquiry, was known to a oths prior to the filing of this s | the knowledge of the my individual designated | |
| | | | | t from bottom signature; omis gner per signature below) | sion here indicates that | |
| | | Name: | | | | |
| | | Signature: Address: | | | | |
| | | | | | | |

Date:

which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (<u>deficiency only</u>) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a <u>duplicate</u> copy of this sheet is attached. This statement <u>does not authorize</u> charge of the <u>issue fee</u> in this case.

- 5.[] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:
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| | August 14, 2000 |
|-------|--|
| | Peggy S. Baker |
| | (Typed/printed name of person mailing paper or fee) |
| | (Signature of person mailing paper or fee) |
| b.[] | "Express Mail" Certificate under Rule 10: |
| | "Express Mait" - Label No Date of Deposit |
| | I hereby certify that this paper and all attachments and any fee are being |
| | deposited with the U.S. Postal Service "Express Mail Post Office to |
| | Addressee" service under 37 CFR 1.10 on the date indicated above and |
| | is addressed to the Assistant Commissioner for Patents, Box Patent |
| | Application, Washington D.C. 20231. |
| | Peggy S. Baker |
| | (Typed/printed name of person mailing paper or fee) |
| | (Signature of person mailing paper or fee) |

ADDRESS: Suite 15 700 E. North Street Greenville, SC 29601 (864) 271-1592 FAX (864) 233-7342 DORITY & MANNING, P.A.

By: __J. Bennett Mullinax Reg. No.: __36,221

Signature:

Date: <u>August 14, 200</u>

| J. J. J. J. J. M. 21 | | Sheet 1 of 1 |
|--|------------------------|---------------|
| (Rev. 5/92) Information Disclosure Statement Litting No. 10-14-14-14-14-14-14-14-14-14-14-14-14-14- | Attorney Docket Number | Serial Number |
| Information Disclosure Statement LISTH CENTER | MLY-5 | 09/551,380 |
| By Applicant | Appli | |
| Under 37 CFR Section 1.98(a) (1) | Chang | |
| (Use several sheets if necessary) | Filia Data | |
| | Filing Date | Group |
| | April 18, 2000 | 1643 |

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|----------------------|-----|----------|---------------|---------|-----|-----|-----|-----|------|--------|------------------|-----|-----|-----|---------|------|----------------|--------|--------------|------|
| | T | Chalfie | et a | al | | | | | | | 5 | 4 | 9 | 1 | 0 | 8 | 4 | 02/1 | 13/96 | |
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| | | Tsien et | Tsien et al | | | | | | 5 | 7 | 7 | 7 | 0 | 7 | 9 | 07/0 | 07/98 | | | |
| | | Cormack | et a | al | | | | | | | 5 | 8 | 0 | 4 | 3 | 8 | 7 | 09/0 | 08/98 | |
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